



**Missouri Department
of Natural Resources**



TRANSFORM MISSOURI

AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009

**DRINKING WATER STATE REVOLVING FUND
INTENDED USE PLAN
AND
PRIORITY LIST**

**FINAL
June 10, 2009**

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**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
DRINKING WATER STATE REVOLVING FUND
INTENDED USE PLAN, PRIORITY LISTS**

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**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
DRINKING WATER STATE REVOLVING FUND
INTENDED USE PLAN**

EXECUTIVE SUMMARY

The President signed the American Recovery and Reinvestment Act of 2009 into law on February 17, 2009. This bill contains more than \$700 billion in tax cuts and investment programs intended to boost the United States' economy. One of the key features of the package will be money for infrastructure, including drinking water projects that are shovel-ready.

The Act provides an appropriation of \$2 billion to be administered through the Drinking Water State Revolving Fund. Missouri's allotment is approximately \$38 million for drinking water projects.

Of the funds allotted to the state:

- 50 percent must be used for additional loan subsidization, including forgiveness of principal, negative interest loans and grants.
- 20 percent of the appropriation shall be used for projects that address water-efficiency, energy-efficiency, mitigate storm water runoff, or encourage environmentally sensitive project planning, design and construction; to the extent that there are sufficient project applications for eligible projects.

All federal State Revolving Fund requirements remain in place, with the addition of Davis-Bacon prevailing wage rates, and "Buy American" provisions. The legislation requires states to give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated within 120 days of enactment. The recipients must have contracts awarded or be in construction no later than February 17, 2010.

The objective of the stimulus package is to get the economy moving and create jobs. Because of the urgency for results, the Missouri Department of Natural Resources can only consider projects that are ready or nearly ready to begin construction. Any applicant that wants to participate in this unique opportunity needs to be prepared to move forward expeditiously.

Shovel-Ready Projects

In order to place an applicant in a position to meet the February 17, 2010 construction start deadline the Department considers a project to be shovel-ready if the applicant has completed or will soon complete the following items:

- Applications for all necessary permits or approvals.
- Environmental clearances.
- Engineering (plans and specifications).
- Passage of the appropriate bond resolutions.
- Voter authorization for bond issuance (loan portion).

The Department is committed to working closely with applicants that have projects eligible for the stimulus funding. The Department can also provide assistance to help determine if an applicant has a project that is shovel-ready.

Applications for assistance are considered based upon the ability of the applicant to have the proposed project in construction before February 17, 2010 and the priority ranking criteria approved by the Safe Drinking Water Commission on May 27, 2008. When applications exceed the funds available, projects are listed based on anticipated construction start date and priority ranking order.

Project Lists

The following lists include those Economic Recovery applications received prior to the drafting of this Intended Use Plan. All projects must meet the requirements of the Drinking Water State Revolving Fund program, including but not limited to compliance with the federal National Environmental Policy Act, submittal and approval by the Department of all necessary engineering, and financial documents. Any project failing to proceed in an expeditious manner will be removed from the fundable list, thus allowing contingency projects the opportunity to receive funding. Projects that are unsuccessful in receiving funding will be afforded the opportunity to participate in the normal Drinking Water State Revolving Fund program

- **Fundable Projects List** –The fundable list identifies those projects that the commission believes meet the shovel ready criteria and which have a start date on or before February 17, 2010. Section 1602 of the ARRA requires that states “...shall give preference to activities that can be started and completed expeditiously...” This methodology allows the Department to fund projects that will stimulate the economy as soon as possible. It also gives the Department time to re-allocate funding to contingency projects if these projects are not progressing quickly enough to meet the February 17, 2010 deadline. An entity seeking to have a project placed on the Fundable List must submit information indicating that the public entity has an appropriate debt instrument in place and provide a complete engineering report. A debt instrument includes, but is not limited to general obligation bonds, revenue bonds, and/or an annually appropriated debt structure approved by the EI ERA.
- **Contingency Projects List** – The contingency project list identifies those projects which may be considered for funding if unanticipated or uncommitted funds become available.

Projects will be eligible to receive financial assistance subject to final program appropriations, project reviews, and project schedules.

Recipients of federal funds totaling more than \$500,000 are subject to the provisions of the federal Single Audit Act of 1984 and the Single Audit Act Amendments of 1996. These requirements provide the federal government with assurances that the expenditures of federal funds are for their intended purposes and that the dispersal of those funds occurs in a timely manner. Final grant and loan documents will include specific information and calculation instructions for the audit.

**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009
DRINKING WATER STATE REVOLVING FUND
INTENDED USE PLAN**

I. Introduction

This Intended Use Plan (IUP) accompanies the State of Missouri's application for a \$37,862,000 capitalization grant for the Drinking Water State Revolving Fund (DWSRF) program under the American Recovery and Reinvestment Act (ARRA) of 2009.

II. DWSRF Program Goals

The State of Missouri is committed to using the capitalization grant for which it is applying to provide assistance to drinking water projects which will proceed quickly to construction, creating jobs and furthering the water quality objectives of the Safe Drinking Water Act. The State of Missouri's goal is to enter into binding commitments for projects, which will proceed to construction or award of construction contracts by February 17, 2010.

The State of Missouri recognizes that the goal of the ARRA is to expeditiously fund eligible projects that simultaneously will create jobs, promote economic recovery, and generate long-term benefits from infrastructure investment. In this grant, the State is being called upon to accomplish goals that may not previously have been priorities in its base SRF program. Some priorities and activities in the State's base program that may not practically be attainable within the timeframes associated with the ARRA will be pursued using funds made available through the base DWSRF program.

References throughout this document to the "Commission" and the "Department" refer to the Missouri Safe Drinking Water Commission and the Missouri Department of Natural Resources, respectively.

Operation and management of the DWSRF program are directed by regulations 10 CSR 60-13.020, through 10 CSR 60-13.030.

III. Sources and Uses of Funds

The State of Missouri is applying for a capitalization grant in the amount of \$37,862,000. This represents the amount the State is eligible to receive under the State's allocation from the supplemental appropriation enacted under the ARRA. The Department will reserve 96.15 percent (\$36,404,760) of the ARRA capitalization grant for DWSRF loans and subsidies. The Department will reserve the remaining 3.85 percent (\$1,457,240) for authorized drinking water set-aside activities as described in the set-aside section of this intended use plan.

The American Recovery and Reinvestment Act of 2009, the Federal Clean Water Act, and the Safe Drinking Water Act, authorizes the transfer of funds between the Clean Water State Revolving Funds and the Drinking Water State Revolving Funds. The Department reserves the right to transfer any unused portion of either capitalization grant between the Drinking Water and

the Clean Water SRF loan funds in order to expeditiously use the funds. The Department commits to manage and expend all funds thus transferred consistent with the requirements of the ARRA as outlined in the USEPA guidance.

Set-Asides

The American Recovery and Reinvestment Act of 2009 provides \$37,862,000 for the DWSRF loan program and three of the four Set-aside categories established by the SDWA. The three Set-Asides and the Department’s intended use of those funds are described herein.

The Department intends to reserve 3.85 percent of the ARRA capitalization grant for Set-Asides and will deposit those funds in a separate account. Table 1 shows the intended distribution of these Set-Asides.

Table 1. Distribution of Set-Aside Funds

Set-Asides	% Cap Grant Allowed	% Cap Grant Proposed	Amount
DWSRF Administration and Technical Assistance	4%	1.85%	\$700,000
Small System Technical Assistance	2%	2%	\$757,240
PWSS State Program Management	10%	0%	\$0
Total	16%	3.85%	\$1,457,240

DWSRF Administration and Technical Assistance

This Set-Aside provides funding to administer the programs established under section 1452 of the SDWA and to provide technical assistance to public water systems. States can reserve a maximum of 4% of the DWSRF capitalization grant for these purposes. The ARRA provides the same authority.

The Department proposes to use 1.85% of the DWSRF capitalization grant for the following technical assistance activities during the time period the funds are available:

- Assisting public water systems in obtaining engineering reports;
- Assistance to public water systems with compliance issues, managerial issues, financial issues, grant and loan applications, water and energy efficiency measures, and related issues; and
- Distribution system mapping and related issues including assistance water and energy efficiency measures.

The Department will provide this technical assistance using staff and contract services.

Small Systems Technical Assistance

The purpose of this Set-Aside is for states to provide technical assistance to public water systems that serve 10,000 or fewer people. The SDWA allows states to use up to 2% of each DWSRF capitalization grant for this purpose. The ARRA provides the same authority.

The Department intends to take the full 2% allowed for this Set-Aside. The activities the Department intends to implement with this ARRA funding during the time period the funds are available include:

- Assisting small public water systems in obtaining engineering reports (co-funded with the Administrative Set-aside);
- Assistance to small public water systems with compliance issues, managerial issues, financial issues, grant and loan applications, water and energy efficiency measures, and related issues (co-funded with the Administrative Set-aside); and
- Contract for development of standard engineering drawings for minimum requirements for small noncommunity water systems.

The Department will provide this technical assistance using staff and contract services.

Public Water System Supervision (PWSS)

The Department does not intend to use any of the ARRA funding for this Set-aside and instead proposes to put this funding into infrastructure loans and grants.

Table 2 summarizes the sources and uses of the capitalization grant for which the State is applying:

Table 2 Sources and Uses of ARRA Capitalization Grant	
Sources	Amount
ARRA Capitalization Grant Funds	\$37,862,000
Additional Base Program Funds	\$ 2,665,475
Total Sources	\$ 40,527,475
Uses*	
ARRA Loans	\$17,473,760
ARRA Additional Subsidization (grants)	\$18,931,000
ARRA Administrative Set-Aside (3.85%)	\$1,457,240
Base Program Loans	\$ 2,665,475
Total Uses	\$ 40,527,475

* The twenty percent for Green Project Reserve consists of a combination of ARRA grants and ARRA loans.

IV. Criteria and Methods for Distribution of Funds

A. Project List

The Department began soliciting applications for the federal stimulus funding in January 2009. Instructions and applications were posted to the Department’s website and were subsequently incorporated into the Governor’s website at Transform.mo.gov. Additionally, those applications

previously received for the State's Revolving Fund program will also be considered for stimulus funding. Applications for assistance are prioritized by the anticipated start date and in accordance with the Missouri Drinking Water Revolving Fund Priority Point Criteria, approved by the Safe Drinking Water Commission. Potential applicants are strongly encouraged to contact the Department prior to submitting an application.

B. Additional Subsidization

The ARRA requires that not less than 50% of assistance provided is in the form of additional subsidies. Currently, regulations in the State of Missouri only allow for low interest loans to be provided through the SRF. However, emergency rules are being promulgated to allow for additional subsidies in the form of principal forgiveness, negative interest loans, grants, or any combination thereof. The Department intends to follow up with permanent rule changes to allow for the full flexibility as allowed by the Safe Drinking Water Act, as amended.

In order to meet the February 16, 2010 deadline and to provide assistance in a form that meets the guidelines specified in the Act, the program will be offering the following assistance:

Projects will be funded through a combination of 50% grant and 50 % loan with a maximum grant of \$2 million per applicant. Even though it is a smaller percentage of their project, the larger communities will still receive the same amount of grant funding that the smaller communities could potentially receive.

Since the intent of Congress was "to target, as much as possible, the additional subsidized monies to communities that could not otherwise afford an SRF loan", we further propose to offer an even higher percentage grant for the most disadvantaged communities. For any community with a population of less than 3,300, whose user rates will be at or above 2% of the state median household income (MHI) and the MHI is at or below 75% of the state average MHI, they will receive a grant for up to 75% of their project cost and a loan for the remaining 25%.

Attached are lists of current Drinking Water SRF applicants showing the amount of assistance they could potentially receive based on this recommendation. This list demonstrates that at least 50% of the available funding for projects will be provided via grants. Any subsequent revision to this project list will likewise demonstrate that at least 50% of the available funding for projects will be provided via grants.

The Department recognizes that the goal of the ARRA is to expeditiously fund eligible projects that simultaneously will create jobs, promote economic recovery, and generate long-term benefits from infrastructure investment. In this grant, the State is being called upon to accomplish goals that may not previously have been priorities in its base SRF program. Some priorities and activities in the State's base program that may not practically be attainable within the timeframes associated with the ARRA will be pursued using funds made available through the base DWSRF program. The Commission reserves the right to take out any or all direct loans through the issuance of a leveraged loan at any time.

C. Green Infrastructure

The ARRA requires that, to the extent there are sufficient eligible project applications, not less than 20% of the funds provided for projects must be used for water or energy efficiency, green

infrastructure, or other environmentally innovative activities. The attached project list shows that 20% of the total assistance amount of \$37,862,000 is for projects or portions of projects meeting one or more of the specific objectives required by this provision.

The Department actively solicited green projects through announcement on our website. Also, during the screening of applications and engineering review process, green projects and components of projects were identified by using the criteria defined in EPA's March 2, 2009 guidance document.

D. Preference for Expeditious Activities

The ARRA requires priority be given to projects that will be ready to proceed to actual construction within 12 months of the date of enactment. In anticipation of compliance with this requirement, the State of Missouri is consulting with all potential assistance recipients with projects on the project priority list and making a determination as to which of these projects can be started and completed expeditiously. After receiving a capitalization grant, the State will provide ARRA assistance to projects who qualify for this preference.

In addition, ARRA section 1602 requires that "recipients shall give preference to activities that can be started and completed expeditiously, including a goal of using at least 50 percent of the funds for activities that can be initiated not later than 120 days after...enactment" of the Act. The State of Missouri intends to implement this preference requirement by selecting for first ARRA funding, those projects that appear most likely to be able to start construction by June 17, 2009.

Bypassing Projects

As funds become depleted, staff will present recommendations to the commission to fund or bypass an applicant's project. Projects failing to progress towards fundable status are subject to funding "bypass". A project with fewer priority points may bypass a project with a higher priority point ranking that is failing to make sufficient advancement towards funding eligibility. Recommendations to the Commission to fund or bypass a project may be made at any commission meeting throughout the fiscal year. Applicants whose projects are recommended for bypass or funding will be notified prior to the commission meeting when their projects appear on the agenda and will be allowed time to present their points of view regarding the proposed change in project status.

Readiness to Proceed

A project's readiness to proceed is based upon three criteria; acceptable debt instrument, the submittal of a complete facility plan, and a construction start date prior to February 16, 2010. A facility plan submittal checklist is included with the stimulus application form.

E. Avoidance of Reallotment/Relationship to Base Program

In order to meet the requirements and deadlines of the ARRA for the expeditious and timely commitment and expenditure of funds, the State of Missouri will regularly review the data reported to EPA on the progress of assistance recipients under the statutory deadlines specified in this IUP to identify any issues with the timeliness of this progress. If such issues are identified, the State of Missouri intends to work with EPA to resolve such issues. The State will include conditions in its assistance agreements to ensure that assistant recipients make timely progress

with respect to entering into contracts and/or construction. If a recipient fails to maintain progress with these conditions, they may receive funding from other DWSRF monies so that ARRA funding can be provided for a project that is ready to proceed.

The State understands that the U.S. Environmental Protection Agency may deobligate grant funds from States that fail to meet requirements on use of ARRA funds. However, if the State is eligible for additional funds made available by a reallocation of ARRA funds, the State will amend the IUP to include projects from its project priority list that are immediately prepared to proceed to construction. Therefore, applicants on the contingency list are encouraged to continue moving forward with their projects. Projects that receive funding through the reallocation process will be required to have construction contracts awarded within 120 days of reallocation.

F. Loan Terms and Fees

For projects listed in this IUP, the assistance will be in the form of grants and/or loans with an interest rate of at least 30 percent of the current market rate. The market rate will be established in accordance with 10 CSR 60-13.020 and an annual fee of approximately .5% based on the outstanding loan balance. Long-term loans will be for up to 20 years.

V. Public Review and Comment

In compliance with the requirement in SDWA sec. 1452(b)(1) to provide for public review and comment, this Intended Use Plan was placed on public notice on April 27, 2009 in draft form at www.dnr.mo.gov and a public meeting to receive comments was held on May 27, 2009, 9:00 a.m. at the Lewis and Clark State Office Building, 1101 Riverside Dr., Jefferson City, Mo.

VI. Assurances

The Department agrees to comply with the Federal program requirements as outlined in the Operating Agreement between EPA and the State of Missouri as amended in October, 2008.

VII. Additional Recipient Provisions

A. Buy American

At the time of writing, final guidance has not been provided to the Department for the implementation of Section 1605 of the ARRA. It states that none of the appropriated funds may be used for construction unless all of the iron, steel, and manufactured goods used in the project is produced in the United States. The Department will be unable to approve bid documents or move ahead with stimulus funding until these requirements are clarified by EPA.

B. Prevailing Wage Rates:

Section 1606 of the ARRA contains the following language relative to wage rates:

“Notwithstanding any other provision of law and in a manner consistent with other provisions in this Act, all laborers and mechanics employed by contractors and sub contractors on projects

funded directly by or assisted in whole or in part by and through the Federal Government pursuant to this Act shall be paid wages at rates not less than those prevailing on projects of a character similar in the locality as determined by the Secretary of Labor in accordance with subchapter IV of chapter 31 of title 40, United States Code. With respect to the labor standards specified in this section, the Secretary of Labor shall have the authority and functions set forth in Reorganization Plan Numbered 14 of 1950 (64 Stat.1267; 5 U.S.C.App.) and section 3145 of title 40, United States Code.”

The purpose of this language is to apply Davis-Bacon Act wage rules to all assistance agreements made in whole or in part with funds appropriated under the ARRA. The Department of Labor provides all pertinent information related to compliance with labor standards, including prevailing wage rates and instructions for reporting.

The Department will not be supplying wage rates for the projects. It will be the responsibility of each recipient to obtain the correct wage rates and to maintain compliance with them throughout the project.

C. Land and Easements:

The ARRA includes the following provision: “*Provided further*, That none of the funds appropriated herein shall be available for the purchase of land or easements as authorized by section 603(c) of the Federal Water Pollution Control Act or for activities authorized by section 1452(k) of the Safe Drinking Water Act.”

D. Refinancing:

Funds appropriated under the ARRA may not be used to provide assistance for the purpose of purchasing or refinancing municipal debt or restructuring outstanding SRF loans unless the initial debt was incurred on or after October 1, 2008. Congress has stated as a goal of providing these funds that funds should be used in a manner that maximizes job creation and economic benefit, and therefore, EPA encourages States to use the funds in such a manner to meet this goal.

E. Grant Requirements:

The regulations at 40 CFR Part 31 apply to grants and cooperative agreements awarded to State and local (including tribal) governments. The regulations at 40 CFR Part 30 apply to grants with nonprofit organizations and with non-governmental for-profit entities. Note that the latter grants cannot be made with DWSRF funds except to eligible public water systems, because only certain public water systems are eligible recipients of DWSRF project assistance that can be provided under the ARRA.

F. Other Federal Requirements:

A more detailed description of the Federal laws, Executive Orders, OMB Circulars and their implementing regulations is available through the OGD Grants Intranet website at <http://www.epa.gov/ow/eparecovery/>.

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Drinking Water SRF Stimulus Program
SFY 2010 Fundable (Shovel ready) Projects

Fundable Lists

The following lists include those Economic Recovery applications received prior to the drafting of this Intended Use Plan. All projects must meet the requirements of the Drinking Water State Revolving Fund program, including but not limited to compliance with the federal National Environmental Policy Act, submittal and approval by the Department of all necessary engineering documents, and financial documents. Any project failing to proceed in an expeditious manner will be removed from the fundable list, thus allowing contingency projects the opportunity to receive funding. Projects that are unsuccessful in receiving funding will be afforded the opportunity to participate in the normal Drinking Water State Revolving Fund program.

VERY SMALL COMMUNITY 20% RESERVE FUNDABLE LIST

(Systems that serve a population of 3,300 or less)

FUNDS AVAILABLE

Available from 20% reserve	\$ 7,280,952
Transfer from 15% reserve	\$ 0
Transfer from 65% reserve	<u>\$ 55,568</u>
TOTAL AVAILABLE	\$ 7,336,520

	Applicant	Project Number	Description	Priority Points	Construction Start Date	Population	Amount Requested	ARRA Grant	ARRA Loan	Anticipated Closing
							Funds Available:	\$ 4,196,390	\$ 3,140,130	
D	King City *	DW291265-01	Dis Stor	85	2/18/2009	1,500	\$ 796,700	\$ 597,525	\$ 199,175	Jul-09
D/G	Linn Creek *	DW291223-01	Well, Stor, Dis Rehab	90	9/1/2009	280	\$ 1,221,400	\$ 916,050	\$ 305,350	Sep-09
D/G	Ozark Co. PWSD #1	DW291290-01	Dis, Stor, Source	55	1/1/2010	500	\$ 94,420	\$ 70,815	\$ 23,605	Jan-09
G/R	Ava *	DW291214-01	Dis Rehab, Well, Stor	79	5/1/2009	3,021	\$ 3,500,000	\$ 1,750,000	\$ 1,750,000	Jul-09
G/R	Rockaway Beach *	DW291230-01	Dis Rehab	60	5/1/2009	577	\$ 1,724,000	\$ 862,000	\$ 862,000	Jul-09
							Total Funds Requested:	\$ 4,196,390	\$ 3,140,130	
							Balance:	\$ -	\$ -	

* Project required to meet the requirements of the Single Audit Act.

SMALL COMMUNITY 15% RESERVE FUNDABLE LIST

(Systems that serve a population of 3,301 to 9,999)

FUNDS AVAILABLE

Transfer from 20% reserve	\$ 0
Available from 15% reserve	\$ 5,460,714
Transfer from 65% reserve	\$ 691,336
TOTAL AVAILABLE	\$ 6,152,050

	Applicant	Project Number	Description	Priority Points	Construction Start Date	Population	Amount Requested	ARRA Grant	ARRA Loan	Anticipated Closing
							Funds Available:	\$ 3,076,025	\$ 3,076,025	
G	Adrian *	DW291245-01	Dis Rehab	55	8/1/2009	4,420	\$ 947,600	\$ 473,800	\$ 473,800	Aug-09
G	Harry S. Truman PWSD #2 *	DW291239-01	Dis Rehab	50	10/15/2009	6,000	\$ 2,344,000	\$ 1,172,000	\$ 1,172,000	Nov-09
G	Monroe County PWSD #2 *	DW291213-02	Distribution	18	11/1/2009	6,500	\$ 1,278,450	\$ 639,225	\$ 639,225	Nov-09
R	Cole County PWSD #4 (Line Replacement)	DW291208-03	Distribution	9	6/20/2009	9,978	\$ 187,000	\$ 93,500	\$ 93,500	Jul-09
R	Cole County PWSD #4 *	DW291208-02	Well, Dis, Stor	84	7/1/2009	9,978	\$ 543,000	\$ 271,500	\$ 271,500	Jul-09
R	Monroe County PWSD #2 *	DW291213-01	Dis	120	8/1/2009	6,500	\$ 852,000	\$ 426,000	\$ 426,000	Aug-09
							Total Funds Requested:	\$ 3,076,025	\$ 3,076,025	
							Balance:	\$ -	\$ -	

* Project required to meet the requirements of the Single Audit Act.

GENERAL COMMUNITY 65% RESERVE FUNDABLE LIST

FUNDS AVAILABLE

Transfer from 20% reserve \$ 0
 Transfer from 15% reserve \$ 0
 Available from 65% reserve \$ 25,581,665
 TOTAL AVAILABLE \$ 25,581,665

	Applicant	Project Number	Description	Priority Points	Construction Start Date	Population	Amount Requested	ARRA Grant	ARRA Loan	DWSRF Loan	Anticipated Closing
							Funds Available:	\$ 11,658,585	\$ 11,257,605	\$ 2,665,475	
R	Holcomb *	DW291186-01	Stor, Source	79	4/1/2009	840	\$ 532,265	\$ 266,133	\$ 266,132		Jul-09
R	Camden County PWSD #3 *	DW291215-01	Well, Stor, Dis	98	5/15/2009	1,200	\$ 1,314,000	\$ 657,000	\$ 657,000		Jul-09
R	Cameron Phase I *	DW291193-03	Dis	64	7/1/2009	18,000	\$ 2,781,000	\$ 1,390,500	\$ 1,390,500		Jul-09
G/R	Drexel *	DW291236-01	Treat, Stor, Dis Rehab	130	8/1/2009	1,095	\$ 4,368,000	\$ 2,000,000	\$ 2,368,000		Aug-09
R	Clarence Cannon Wholesale Water Comm. (CCWWC) *	DW291070-03	Dis	127	8/1/2009	42,000	\$ 4,390,000	\$ 2,000,000	\$ 2,390,000		Aug-09
R	North Central MO Regional Comm. (NCRMWWC) *	DW291226-01	Stor, Dis	116	8/1/2009	9,227	\$ 3,000,000	\$ 1,500,000	\$ 1,500,000		Aug-09
R	Weston *	DW291220-01	TP, Well, Stor, Dis	103	8/1/2009	1,631	\$ 3,697,000	\$ 1,848,500	\$ 1,848,500		Aug-09
R	Cape Girardeau *	DW291010-03	Dis	72	8/1/2009	35,349	\$ 2,000,000	\$ 1,000,000	\$ 837,473	\$ 162,527	Aug-09
G/R	Newburg	DW291229-01	Dis Rehab, Stor	65	8/1/2009	474	\$ 324,400	\$ 162,200	\$ -	\$ 162,200	Aug-09
G/R	Pilot Grove *	DW291232-01	Dis Rehab	62	8/1/2009	723	\$ 975,000	\$ 487,500	\$ -	\$ 487,500	Aug-09
R	Clinton County PWSD #3 *	DW291227-01	Dis, Stor	53	8/1/2009	3,275	\$ 2,200,000	\$ 346,752	\$ -	\$ 1,853,248	Aug-09
							Total Funds Requested:	\$ 11,658,585	\$ 11,257,605	\$ 2,665,475	
							Balance:	\$ -	\$ -	\$ -	

* Project required to meet the requirements of the Single Audit Act.

Contingency List

	Applicant	Bonds	Project Number	Description	Priority Points	Construction Start Date	Population	Amount Requested
	Rogersville	Yes	DW291276-01	Dis, Stor, Source	75	3/13/2009	1,508	\$ 1,276,891
G	Kansas City WSD, Water Main Break Repairs	Yes	DW291264-18	Dis. Rehab	38	3/18/2009	650,000	\$ 1,241,150
	Pleasant Hill	Yes	DW291274-01	Dis	28	4/7/2009	7,500	\$ 250,922
	Bronaugh	Yes	DW291248-01	Dis, Stor, Source	104	5/7/2009	245	\$ 613,616
	Kansas City WSD, East Bottoms Pump Station	Yes	DW291264-07	Battery Bank	17	5/15/2009	650,000	\$ 1,194,800
G	Jefferson Co. PWSD #1	Yes	DW291263-01	Dis Rehab	27	5/16/2009	20,000	\$ 180,250
	Kansas City WSD, 24-inch Transmission Main	Yes	DW291264-01	Dis	38	5/31/2009	650,000	\$ 654,050
	Kansas City WSD, Blue Ridge Improvements	Yes	DW291264-04	Dis	43	6/1/2009	650,000	\$ 2,610,000
	Lee's Summit	Yes	DW291267-01	Dis	4	6/1/2009	92,000	\$ 1,751,000
	Chillicothe high service pump	Yes	DW291251-01	Dis	67	6/15/2009	13,141	\$ 80,000
	Chillicothe Well	Yes	DW291251-03	Source	28	6/15/2009	13,141	\$ 124,000
	Chillicothe metering	Yes	DW291251-02	Dis	27	6/15/2009	13,141	\$ 987,500
	Kansas City WSD, Small Mains	Yes	DW291264-15	Dis	28	6/16/2009	65,000	\$ 9,549,130
	Kansas City WSD, Arrowhead TM- Phase 2	Yes	DW291264-03	Dis	38	6/17/2009	650,000	\$ 16,065,940
G	Walnut Grove	Yes	DW291285-01	Dis, Source	59	7/1/2009	630	\$ 271,714
	Kansas City WSD, Troost Avenue Water Main Relocations	Yes	DW291264-16	Dis.	38	7/1/2009	650,000	\$ 1,153,600
	Andrew Co. PWSD #3	Yes	DW291246-01	Dis	52	7/2/2009	1,031	\$ 707,008
	Kansas City WSD, Railroad Bridge Replacement	Yes	DW291264-13	Treatment	38	7/15/2009	650,000	\$ 1,225,700
	Village of Diggins	Yes	DW291253-01	Source	25	7/15/2009	298	\$ 42,200
	Kansas City WSD, Maplewoods Parkway	Yes	DW291264-11	Dis	38	7/26/2009	650,000	\$ 2,531,108
	Chariton-Linn PWSD #3	Yes	DW291250-01	Dis, Stor	105	8/1/2009	9,500	\$ 102,100
R	Chilhowee	Yes	DW291233-01	Dis, Stor	50	8/1/2009	329	\$ 750,000
R	Wardsville	Yes	DW291218-01	Dis, Stor	45	8/1/2009	976	\$ 492,000
	Kansas City WSD, Blue Ridge Pump Station Security & Electrical Improvements	Yes	DW291264-05	Dis	27	8/1/2009	650,000	\$ 779,710
	Archie	Yes	DW291142-02	Treatment	100	8/31/2009	890	\$ 2,082,351
	Plattsburg	Yes	DW291210-02	TP, Dis	65	9/1/2009	10,600	\$ 5,952,823
	Kansas City WSD, Renovation of Filter Galleries A&B	Yes	DW291264-14	TP	58	9/1/2009	650,000	\$ 15,750,000
	Consolidated PWSD #C-1 of Jefferson County	Yes	DW291256-01	Dis, Stor	53	9/1/2009	31,795	\$ 5,242,000

	Auxvasse	Yes	DW291222-01	Well	51	9/1/2009	901	\$ 250,000
R	Hillsboro	Yes	DW291261-01	Dis, Stor, Source	49	9/1/2009	1,675	\$ 4,975,000
G	Martinsburg	Yes	DW291241-01	Well, Dis Rehab	45	9/1/2009	326	\$ 665,000
	St. Charles CO.PWSD #2	Yes	DW291279-01	Dis	44	9/1/2009	90,000	\$ 1,052,248
R	Webb City	Yes	DW291269-01	Dis	41	9/1/2009	9,812	\$ 486,481
	Kansas City WSD, Botts Road/NNSA Federal Complex	Yes	DW291264-06	Dis	38	9/1/2009	650,000	\$ 13,081,000
	Kansas City WSD, I-435 & Front St. TM Relocation	Yes	DW291264-10	Dis	38	9/1/2009	650,000	\$ 249,260
G	Crestwood West Water District PWSD #2	Yes	DW291228-01	Dis Rehab	30	9/1/2008	182	\$ 355,000
	Kansas City WSD, Water Main Replacements	Yes	DW291264-19	Dis	38	9/14/2009	650,000	\$ 10,886,624
R	Platte Co. PWSD #8	Yes	DW291273-01	Dis, Stor, Source	59	9/15/2009	1,101	\$ 886,881
	Boone Co. PWSD #9 Tank	Yes	DW291247-02	Storage	49	9/15/2009	11,100	\$ 106,576
	Thomas Hill PWSD #1	Yes	DW291284-01	Dis, Stor	28	9/16/2009	9,600	\$ 2,050,746
	Whitewater/Allenville	Yes	DW291287-01	Storage	44	10/1/2009	217	\$ 272,000
	Desloge (Revised 5-27-09)	Yes	DW291258-01	Dis, Stor, Source	33	10/15/2009	5,105	\$ 6,043,372
	Cass Co. PWSD #10	Yes	DW291249-01	Dis	22	10/15/2009	2,070	\$ 349,582
	Buckner	Yes	DW291238-01	Well, TP, Stor, Dis	11	10/15/2009	2,784	\$ 4,743,000
	McDonald Co. PWSD #3	Yes	DW291252-01	Dis, Source	80	11/1/2009	650	\$ 323,245
R	Greene County PWSD #6	Yes	DW291217-01	Well, Stor, Dis	65	11/1/2009	485	\$ 950,000
G	Kansas City WSD, Waukomis Pump Station	Yes	DW291264-20	Dis	38	11/1/2009	650,000	\$ 2,595,600
	Kansas City WSD, 72-inch Supply Pipeline Phase 1	Yes	DW291264-02	Dis	38	11/6/2009	650,000	\$ 8,214,250
	Columbia 15 New Alluvial Well #16	Yes	DW291014-15	Source	47	11/15/2009	101,413	\$ 315,000
	Columbia 06 Ground Storage Reservoir	Yes	DW291014-06	Storage	37	11/15/2009	101,413	\$ 1,240,000
	Columbia 14 Back up power Generators Aquifer Storage and Wells	Yes	DW291014-14	Source	17	11/15/2009	101,413	\$ 500,000
	Columbia 11 Loop Closures Residential Neighborhoods	Yes	DW291014-11	Distribution	12	11/15/2009	101,413	\$ 458,900
	Columbia 16 Water Treatment Plant Transformer and Switchgear upgrade	Yes	DW291014-16	Source	7	11/15/2009	101,413	\$ 160,000
	Kansas City WSD, Emergency Electric Power Generation	Yes	DW291264-09	Emergency Power	27	12/1/2009	650,000	\$ 2,941,670
	Peculiar	Yes	DW291272-01	Dis	45	12/31/2009	4,700	\$ 2,717,000
R	Franklin County PWSD #1	Yes	DW291084-01	Dis, Stor	58	1/1/2010	3,200	\$ 1,507,000
	Kansas City WSD, Elevated Storage Tank Painting/Repair	Yes	DW291264-08	Stor	28	1/1/2010	650,000	\$ 2,090,000
	Kansas City WSD, New North Pump & Piping	Yes	DW291264-12	Dis	37	3/15/2010	650,000	\$ 2,278,300
R	Meadville	Yes	DW291243-01	Dis, Source, TP	102	4/1/2010	457	\$ 1,108,000
	Platte City	Yes	DW291221-02	Dis	22	4/1/2010	4,907	\$ 956,000
R	Poplar Bluff	Yes	DW291204-02	Dis, Stor, Well, TP	63	5/1/2010	16,651	\$ 2,221,000

	Boone Co. PWSD #9 Well	Yes	DW291247-03	Well	39	5/1/2010	11,100	\$ 50,187
	Kansas City WSD, Water Facility Intake Valve & Electrical	Yes	DW291264-17	Dis	38	6/1/2010	650,000	\$ 6,410,000
R	Clarksburg	Yes	DW291234-01	Stor, Dis	70	7/1/2010	390	\$ 680,000
	Springfield Stockton Pump Station Modifications Phase 1 less exp. alt. to Phase 2	No	DW291278-04	Source	4	3/10/2009	154,777	\$ 1,745,000
	Sikeston	No	DW291188-01	TP, Stor, Dis, Inter	96	5/1/2009	16,992	\$ 15,000,000
G	Jackson Co. PWSD #2	8/4/2009	DW291262-01	Dis Rehab	34	5/15/2009	15,000	\$ 3,649,000
	Liberty - Raw Water Well and Lime storage silo	No	DW291268-01	Source	5	5/30/2009	28,303	\$ 1,435,000
G	Missouri American St. Louis	No	DW291270-01	Dis Rehab	14	6/1/2009	1,200,000	\$ 5,760,000
G	Springfield Distirbution Phase 1	No	DW291278-02	Dis	14	6/1/2009	154,777	\$ 820,000
	Raintree Plantation	No	DW291291-01	Stor,Source	87	6/15/2009	2,240	\$ 75,508
G	Springfield Blackman WTP Phase 2	No	DW291278-01	Dis	14	6/15/2009	154,777	\$ 1,218,865
	Nixa Distirbution	No	DW291271-01	Dis	19	7/1/2009	18,000	\$ 428,896
G	Ralls Co. PWSD #1	11/3/2009	DW291275-01	Dis Rehab	55	7/15/2009	6,500	\$ 1,351,560
	Concordia	No	DW291255-01	Dis	30	7/15/2009	2,360	\$ 1,747,500
	Jackson Co.PWSD #1	No	DW291289-01	Dis	28	7/15/2009	25,000	\$ 1,502,821
	Cameron	No	DW291193-02	Stor, Dis	55	8/1/2009	18,000	\$ 10,550,000
	Henry Co. PWSD #3	No	DW291260-01	Dis, stor,source,	43	8/1/2009	6,880	\$ 831,375
	South Greenfield	No	DW291292-01	Source	25	8/1/2009	160	\$ 75,400
	Nixa Elevated Storage Rehabilitation	No	DW291271-02	Storage	24	8/1/2009	18,000	\$ 1,900,680
G	Kirkwood	No	DW291266-01	Dis Rehab	16	8/1/2009	27,324	\$ 2,058,455
G	Ste. Genevieve	No	DW291281-01	TO, Dis Stor	45	8/14/2009	4,480	\$ 1,815,890
G	Stockton	No	DW291282-01	Dis Stor, Source	35	9/1/2009	1,960	\$ 1,882,018
G	St. Louis, City of - Howard Bend Engine House improvements	No	DW291280-08	Energy Cons	17?	9/15/2009	341,381	\$ 416,600
	St. Louis, City of - Howard Bend Boiler House Roof Improvements	No	DW291280-07	Plant Facilities	?	9/15/2009	341,381	\$ 160,800
	St. Louis, City of - Chain of Rocks Water Treatment Plant	No	DW291280-03	Dis	32	9/15/2009	341,381	\$ 599,460
G	St. Louis, City of - Central City Phase 1	No	DW291280-01	Dis Rehab	27	9/15/2009	341,381	\$ 548,300
G	St. Louis, City of - Hampton Ave	No	DW291280-05	Dis Rehab	27	9/15/2009	341,381	\$ 2,168,150
G	St. Louis, City of - North City Phase 1	No	DW291280-09	Dis Rehab	27	9/15/2009	341,381	\$ 1,024,000
G	St. Louis, City of - South City Phase 1	No	DW291280-10	Dis Rehab	27	9/15/2009	341,381	\$ 669,200
	St. Louis, City of - Stacy Park Reservoir	No	DW291280-11	Dis	27	9/15/2009	341,381	\$ 1,271,100
	St. Louis, City of - Chain of Rocks Water Filter Plant	No	DW291280-02	TP	17	9/15/2009	341,381	\$ 393,100
	St. Louis, City of - Downtown, Broadway and Arsenal	No	DW291280-04	Dis	17	9/15/2009	341,381	\$ 2,507,000
	St. Louis, City of - Historic Water Tower repairs	No	DW291280-06	Historic Ren	17	9/15/2009	341,381	\$ 617,500

G	Granby	No	DW291225-01	Stor, Dis Rehab	65	10/15/2009	2,121	\$ 4,354,000
D/G	Creighton	No	DW291257-01	Stor, Dis Rehab	60	10/15/2009	300	\$ 1,396,000
	Warsaw	No	DW291293-01	Dis, Source	34	10/15/2009	2,075	\$ 2,831,470
G	Milan	No	DW291206-01	Dis Rehab	75	11/1/2009	1,958	\$ 321,000
G	Gladstone	8/4/2009	DW291259-01	Dis, TP Green	13	11/1/2009	26,384	\$ 15,000,000
	Barnett	No	DW291294-01	Dis, Source, Land	54	11/15/2009	281	\$ 388,123
	Lincoln Co. PWSD #1	8/4/2009	DW291244-01	Dis, Stor, Source	83	11/23/2009	13,527	\$ 6,658,000
G	Osage County PWSD #3	No	DW291216-01	Well, Stor, Dis Rehab	95	12/1/2009	1,350	\$ 1,072,000
D	Hawk Point	No	DW291299-01	Dis, Stor, Source	55	12/1/2009	324	\$ 1,481,011
	Bucklin	No	DW291288-01	Distribution/refinance	110	12/15/2009	524	\$ 684,500
G	Ash Grove	8/4/2009	DW291237-01	Dis Rehab	40	12/15/2009	1,500	\$ 2,000,000
	Shelbyville	No	DW291297-01	Dis	35	12/15/2009	664	\$ 337,526
	Tri State Utilities	No	DW291298-01	Dis, Stor, Source	48	1/1/2010	8,600	\$ 2,915,260
	Leadwood	No	DW291296-01	Treat,Dis,Stor,Sor	19	1/1/2010	1247	\$ 904,700
	West Plains	No	DW291286-01	Dis, Stor, Source	75	2/1/2010	10,866	\$ 3,885,160
	Fairfax	No	DW291295-01	Distribution	50	2/15/2010	645	\$ 876,993
G	Clay County PWSD #8	Yes	DW291235-01	Stor, Dis Rehab	67	3/1/2010	1,953	\$ 2,498,000
	Sparta	No	DW291277-01	Storage	28	3/1/2010	1,600	\$ 997,780
G	Boone Co. PWSD #9 Booster Pump Station	Yes	DW291247-01	Dis	39	5/1/2010	11,100	\$ 50,375
	Gallatin	No	DW291240-01	TP	85	10/1/2010	3,100	\$ 3,750,000
	Atchison Co. Wholesale Water Comm. (ACWWC)	No	DW291224-01	TP, Stor, Dis	176	1/1/2011	5,900	\$ 18,605,000
	Springfield Stockton Pump Station Modifications Phase 2	No	DW291278-05	Source	4	1/1/2011	154,777	\$ 11,255,000
G	Springfield Distirbution Phase 2	No	DW291278-03	Dis	14	6/1/2011	154,777	\$ 8,696,000
D	Hayti	No	DW291185-02	TP, Dis	115	unknown	3,207	\$ 1,530,000
G	New Madrid	No	DW291211-01	TP, Stor, Dis Rehab	73	unknown	4,000	\$ 7,156,000
G	Jackson	No	DW291192-02	Well, TP, Stor, Dis Rehab	66	unknown	13,514	\$ 14,826,000
	Linn	8/4/2009	DW291162-02	Well, Stor, Dis	64	unknown	1,430	\$ 2,000,000
D	Craig	Yes	DW291242-01	Well, TP	55	unknown	292	\$ 1,317,215
G	Audrain Co. PWSD #2	No	DW291304-01	Dis	36	unknown	2,500	\$ 513,146
D	Shelbina	No	DW291305-01	Dis	35	unknown	1,943	\$ 1,040,901
	Monett	No	DW291303-01	Dis	33	unknown	8,835	\$ 2,934,985
	Cassville	No	DW291300-01	Dis, Stor	31	unknown	2,890	\$ 26,850
	Lexington	No	DW291057-01	Treat,Dis,Stor,Sor	19	unknown	4,435	\$ 12,921,000

Green Project Reserve

As stated previously, the ARRA requires that, to the extent there are sufficient eligible project applications, not less than 20% of the funds provided for projects must be used for water or energy efficiency, green infrastructure, or other environmentally innovative activities. The Fundable Project List shows that 20% of the total assistance amount of \$37,862,000 is for projects or portions of projects meeting one or more of the specific objectives required by this provision. The following list is a summary of those fundable projects, the type of “green” infrastructure, and the associated cost related to those components.

The Department actively solicited green projects through announcement on our website. Also, during the screening of applications and engineering review process, green projects and components of projects were identified by using the criteria defined in EPA’s March 2, 2009 guidance document. The Department will continue to review projects to ensure to the extent possible that 20% of the total ARRA assistance is applied to water or energy efficiency, green infrastructure, or other environmentally innovative activities.

	Applicant	Project Number	Description	Amount Requested	ARRA Grant	ARRA Loan	DWSRF Loan	Green Amount	Type	Case
							20% Goal Amount:	\$ 7,572,400		
G	Adrian	DW291245-01	Dis Rehab	\$ 947,600	\$ 473,800	\$ 473,800	\$ -	\$ 947,600	Water Efficiency	Business
R/G	Ava	DW291214-01	Dis Rehab, Well Stor	\$ 3,500,000	\$ 1,750,000	\$ 1,750,000	\$ -	\$ 2,145,200	Water Efficiency	Business
R/G	Drexel	DW291236-01	Treat, Stor, Dis Rehab	\$ 4,368,000	\$ 2,000,000	\$ 2,368,000	\$ -	\$ 3,705,095	Water Efficiency	Business
G	Harry S Truman PWSD #2	DW291239-01	Dis Rehab	\$ 2,344,000	\$ 1,172,000	\$ 1,172,000	\$ -	\$ 2,331,000	Water Efficiency	Business
D/G	Linn Creek	DW291223-01	Well, Stor Dis	\$ 1,221,400	\$ 916,050	\$ 305,350	\$ -	\$ 690,250	Water Efficiency	Business
G	Monroe Co. PWSD #2	DW291213-02	Distribution	\$ 1,278,450	\$ 639,225	\$ 639,225	\$ -	\$ 1,278,450	Water Efficiency	Business
R/G	Newburg	DW291229-01	Dis Rehab, Stor	\$ 324,400	\$ 162,200	\$ -	\$ 162,200	\$ 208,239	Water Efficiency	Business
D/G	Ozark Co. PWSD #1	DW291290-01	Dis, Stor, Source	\$ 94,420	\$ 70,815	\$ 23,605	\$ -	\$ 94,420	Water Efficiency	Business
R/G	Pilot Grove	DW291232-01	Dis Rehab	\$ 975,000	\$ 487,500	\$ -	\$ 487,500	\$ 831,000	Water Efficiency	Business
R/G	Rockaway Beach	DW291230-01	Dis Rehab	\$ 1,724,000	\$ 862,000	\$ 862,000	\$ -	\$ 1,194,000	Water Efficiency	Business
							Total:	\$ 13,425,254		

Abbreviations and Codes

D – Disadvantaged System	TP – Treatment
G – Green Infrastructure	Stor – Storage
R—Ready to Proceed	Dis – Distribution
	Inter – Interconnection
	Rehab – Rehabilitation